

JOHN WESLEY CARR,  
Plaintiff,  
  
v.  
  
CAROLYN W. COLVIN, Commissioner  
of Social Security,<sup>1</sup>  
  
Defendant.

)  
) No. CV-12-03026-CI  
)  
) ORDER GRANTING DEFENDANT'S  
) MOTION FOR SUMMARY JUDGMENT  
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BEFORE THE COURT are cross-motions for Summary Judgment. ECF No. 18, 21. Attorney D. James Tree represents John W. Carr (Plaintiff); Special Assistant United States Attorney Matthew W. Pile represents the Commissioner of Social Security (Defendant). The parties have consented to proceed before a magistrate judge. ECF No. 6. After reviewing the administrative record and briefs filed by the parties, the court **GRANTS** Defendant's Motion for Summary Judgment and **DENIES** Plaintiff's Motion for Summary Judgment.

<sup>1</sup>Carolyn W. Colvin became the Acting Commissioner of Social Security on February 14, 2013. Pursuant to Rule 25(d) of the Federal Rules of Civil Procedure, Carolyn W. Colvin is substituted for Michael J. Astrue as the defendant in this suit. No further action need be taken to continue this suit. 42 U.S.C. § 405(g).

**JURISDICTION**

On December 5, 2007, Plaintiff filed an application for Supplemental Security Income, alleging disability beginning October 18, 2007. Tr. 12; 136. Plaintiff reported that he could not work due to colitis, high blood pressure, right ankle problems, stomach problems, back and hip pain and shoulder injury. Tr. 141. Plaintiff's claim was denied initially and on reconsideration, and he requested a hearing before an administrative law judge (ALJ). Tr. 67-113. A hearing was held in Portland, Oregon, on March 17, 2010, at which vocational expert Paul Morrison, medical expert Lawrence Duckler, M.D., and Plaintiff,<sup>2</sup> who was represented by counsel, testified. Tr. 24-64. ALJ Donna Montano presided. Tr. 24. The ALJ denied benefits on March 26, 2010. Tr. 12-20. The instant matter is before this court pursuant to 42 U.S.C. § 405(g).

**STATEMENT OF THE CASE**

The facts of the case are set forth in detail in the transcript of proceedings and are briefly summarized here. At the time of the hearing, Plaintiff was 39 years old, living with his wife and one daughter. Tr. 35; 120. Plaintiff testified that he graduated from high school, and later earned "an associates of arts in automotive." Tr. 36. He has worked as a mechanic, shop foreman, warehouseman and with installation of insulation. Tr. 36.

Plaintiff is five foot nine inches tall, and weighs 230 pounds. Tr. 421. He testified that often, his wife helps him dress. Tr. 35. He said he tries to keep the wood stove stocked with firewood, and his wife and her daughter do most of the other household chores.

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<sup>2</sup>Plaintiff appeared via video from The Dalles, Oregon. Tr. 12.

1 Tr. 35. Plaintiff said his right shoulder pain limits his ability  
2 to lift his arm and carry weight. Tr. 31. He also said he has  
3 carpal tunnel syndrome and as a result he can type for only fifteen  
4 minutes and write for five minutes before his hand cramps. Tr. 32.  
5 He said he wears an ankle brace because he has bone spurs and  
6 calcium deposits. Tr. 38.

7 Plaintiff described some of his physical symptoms:

8 Besides I can't hardly sit. I have to lean off to the  
9 side. I can be walking, I can sneeze, step in a little  
10 hole or just anything, and my legs will completely go  
11 numb. I'll lose function down below and fall flat on my  
12 face, and I can't lift anything. I have to - to relieve  
the pressure off my back, I have to lay flat on my back  
with my feet propped up over. And that's the only way I  
can get the actual pain to subside to where I can tolerate  
it for a little while.

13 Tr. 32. Plaintiff also said he spends two-thirds of the day flat on  
14 his back to "keep the pain down," so he can avoid taking pain  
15 medication that irritates his colitis. Tr. 33. Plaintiff explained  
16 that on a "daily basis" after he eats, he burps acid and blood. Tr.  
17 33. Plaintiff also said that can sit for fifteen to twenty minutes  
18 before he has to change positions to relieve the pressure on his  
19 back. Tr. 34.

20 He said he has "more worse days than good days." Tr. 34. He  
21 described that "on my good days, I might get an hour and then I have  
22 to go and relax and get the pressure off my back, but that's very  
23 rare when I have those." Tr. 34. On bad days, Plaintiff said he  
24 sits on the couch with his feet up, or spends the day icing his back  
25 while he lies in his bedroom. Tr. 34-35.

26 Plaintiff explained that he has not worked in ten years, and he  
27 is now seeking Social Security benefits because his step-daughters,  
28 who have been receiving Social Security benefits as a result of

1 their late father's death, were turning 18 and moving out of the  
2 house. Tr. 39-40.

### 3 ADMINISTRATIVE DECISION

4 At step one, ALJ Montano found that Plaintiff had not engaged  
5 in substantial gainful activity since December 5, 2007. Tr. 14. At  
6 step two, she found Plaintiff had the severe impairments of status  
7 post right carpal tunnel repair, degenerative joint disease of the  
8 right ankle, degenerative disc disease of the lumbar spine, and  
9 right shoulder cuff tear status post repair. Tr. 14. At step  
10 three, the ALJ determined Plaintiff's impairments, alone and in  
11 combination, did not meet or medically equal one of the listed  
12 impairments in 20 C.F.R., Subpart P, Appendix 1(20 C.F.R.  
13 416.920(d), 416.925 and 416.926). Tr. 15. The ALJ found Plaintiff  
14 has the residual functional capacity ("RFC") to perform modified  
15 sedentary work during which he can occasionally stoop, kneel, crouch  
16 or crawl, and he should not reach overhead with his right arm. Tr.  
17 16. In step four findings, the ALJ found Plaintiff's statements  
18 regarding pain and limitations were not credible to the extent they  
19 were inconsistent with the RFC assessment. Tr. 17. The ALJ found  
20 that Plaintiff is incapable of performing past relevant work. Tr.  
21 19. After considering Plaintiff's age, education and work  
22 experience, and residual functional capacity, the ALJ determined  
23 that jobs exist in significant numbers in the national economy that  
24 Plaintiff can perform, such as surveillance systems monitor, charge  
25 account clerk, and telephone quotation clerk. Tr. 20.

### 26 STANDARD OF REVIEW

27 In *Edlund v. Massanari*, 253 F.3d 1152, 1156 (9<sup>th</sup> Cir. 2001), the  
28 court set out the standard of review:

1 A district court's order upholding the Commissioner's  
2 denial of benefits is reviewed *de novo*. *Harman v. Apfel*,  
3 211 F.3d 1172, 1174 (9th Cir. 2000). The decision of the  
4 Commissioner may be reversed only if it is not supported  
5 by substantial evidence or if it is based on legal error.  
6 *Tackett v. Apfel*, 180 F.3d 1094, 1097 (9th Cir. 1999).  
7 Substantial evidence is defined as being more than a mere  
8 scintilla, but less than a preponderance. *Id.* at 1098.  
9 Put another way, substantial evidence is such relevant  
10 evidence as a reasonable mind might accept as adequate to  
11 support a conclusion. *Richardson v. Perales*, 402 U.S.  
12 389, 401 (1971). If the evidence is susceptible to more  
13 than one rational interpretation, the court may not  
14 substitute its judgment for that of the Commissioner.  
15 *Tackett*, 180 F.3d at 1097; *Morgan v. Commissioner of*  
16 *Social Sec. Admin.*, 169 F.3d 595, 599 (9th Cir. 1999).

17 The ALJ is responsible for determining credibility,  
18 resolving conflicts in medical testimony, and resolving  
19 ambiguities. *Andrews v. Shalala*, 53 F.3d 1035, 1039 (9th  
20 Cir. 1995). The ALJ's determinations of law are reviewed  
21 *de novo*, although deference is owed to a reasonable  
22 construction of the applicable statutes. *McNatt v. Apfel*,  
23 201 F.3d 1084, 1087 (9th Cir. 2000).

24 It is the role of the trier of fact, not this court, to resolve  
25 conflicts in evidence. *Richardson*, 402 U.S. at 400. If evidence  
26 supports more than one rational interpretation, the court may not  
27 substitute its judgment for that of the Commissioner. *Tackett*, 180  
28 F.3d at 1097; *Allen v. Heckler*, 749 F.2d 577, 579 (9<sup>th</sup> Cir. 1984).  
Nevertheless, a decision supported by substantial evidence will  
still be set aside if the proper legal standards were not applied in  
weighing the evidence and making the decision. *Browner v. Secretary*  
*of Health and Human Services*, 839 F.2d 432, 433 (9<sup>th</sup> Cir. 1988). If  
substantial evidence exists to support the administrative findings,  
or if conflicting evidence exists that will support a finding of  
either disability or non-disability, the Commissioner's  
determination is conclusive. *Sprague v. Bowen*, 812 F.2d 1226, 1229-  
1230 (9<sup>th</sup> Cir. 1987).

**SEQUENTIAL PROCESS**

The Commissioner has established a five-step sequential evaluation process for determining whether a person is disabled. 20 C.F.R. §§ 404.1520(a), 416.920(a); see *Bowen v. Yuckert*, 482 U.S. 137, 140-42 (1987). In steps one through four, the burden of proof rests upon the claimant to establish a prima facie case of entitlement to disability benefits. *Tackett*, 180 F.3d at 1098-99. This burden is met once a claimant establishes that a physical or mental impairment prevents him from engaging in his previous occupation. 20 C.F.R. §§ 404.1520(a)(4), 416.920(a)(4). If a claimant cannot do his past relevant work, the ALJ proceeds to step five, and the burden shifts to the Commissioner to show that (1) the claimant can make an adjustment to other work; and (2) specific jobs exist in the national economy which claimant can perform. *Batson v. Commissioner of Social Sec. Admin.*, 359 F.3d 1190, 1193-94 (2004). If a claimant cannot make an adjustment to other work in the national economy, a finding of "disabled" is made. 20 C.F.R. §§ 404.1520(a)(4)(I-v), 416.920(a)(4)(I-v).

**ISSUES**

The question presented is whether substantial evidence exists to support the ALJ's decision denying benefits and, if so, whether that decision is based on proper legal standards. Plaintiff contends that the ALJ erred by improperly rejecting treating medical source opinions, finding Plaintiff was not credible and by failing to identify specific jobs Plaintiff can perform that are consistent with his functional limitations. ECF No. 19 at 12-20.

**DISCUSSION****1. Medical Opinions.**

Plaintiff argues that the ALJ failed to provide "specific and legitimate" reasons supported by substantial evidence for rejecting Drs. Ho and Garnett's opinions, and the ALJ erred in weighing the opinion of David Tuning, PA-C. ECF No. 19 at 13-17.

**a. Marie Ho, M.D.**

Plaintiff argues that while the ALJ indicated she accepted Dr. Ho's opinion as "generally consistent" with the RFC determination, the ALJ failed to adopt her assessment that Plaintiff should not kneel, crouch or squat. ECF No. 19 at 12-13.

Dr. Ho evaluated Plaintiff on February 23, 2008, and opined that Plaintiff's postural limitations should include avoiding kneeling, crouching and squatting. Tr. 424. The ALJ concluded, "Dr. Ho's opinions are generally consistent with the residual functional capacity determined in this decision and are given weight." Tr. 18. Yet the ALJ crafted an RFC that indicates Plaintiff can occasionally stoop, kneel, crouch or crawl. Tr. 16. The Defendant concedes that the ALJ erred by failing to give reasons for rejecting Dr. Ho's opinions about Plaintiff's postural limitations, but contends that the error is harmless. ECF No. 22 at 15. The court agrees. An ALJ's error is harmless where it is "inconsequential to the ultimate nondisability determination." *Carmickle v. Comm'r, Soc. Sec. Admin.*, 533 F.3d 1155, 1162 (9<sup>th</sup> cir. 2008). As Defendant points out, none of the three identified occupations that Plaintiff can perform - charge account clerk,<sup>3</sup>

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<sup>3</sup>DOT #205.367-014.

1 telephone quotation clerk,<sup>4</sup> surveillance system monitor<sup>5</sup> - require  
2 kneeling, crouching, or squatting. Because none of the occupations  
3 violate the postural limitations identified by Dr. Ho, the ALJ's  
4 failure to incorporate the postural limitations assessed by Dr. Ho  
5 into Plaintiff's RFC is inconsequential to the outcome of this case.

6 *b. David Tuning, PA-C.*

7 Plaintiff argues that the ALJ erred by rejecting the opinion  
8 from David Tuning, PA-C.<sup>6</sup> ECF No. 19 at 13-15. The record reveals  
9 that Mr. Tuning treated Plaintiff from January 14, 2009, to February  
10 4, 2010. Tr. 442-47. On March 25, 2009, Plaintiff returned to Mr.  
11 Tuning in a follow-up visit to assess if Plaintiff could return to  
12 work. Mr. Tuning indicated that he recommended sedentary work for  
13 Plaintiff:

14 I advised him that I was recommending sedentary work  
15 activity and that he could participate in job search and  
16 training as if he is ever going to return to work he will  
17 need retraining for sedentary level of activity. He finds  
that when he is up much longer than this, or sits for too  
long, he has increased back pain.

18 \* \* \*

19 I recommend sedentary work level for John.

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21 <sup>4</sup>DOT #237.367-046.

22 <sup>5</sup>DOT #379.367-010.

23 <sup>6</sup>Plaintiff argues that the ALJ gave no reason for rejecting Dr.  
24 Garnett's opinion that Plaintiff would miss at least four days of  
25 work per month due to his limitations. ECF No. 19 at 13. The  
26 record reflects that this opinion is attributable to Mr. Tuning, not  
27 Dr. Garnett. Tr. 462.



1 Tr. 447. A little less than one year later, Mr. Tuning completed a  
2 "Medical Report" in which he indicated that work on a regular and  
3 continuous basis would cause Plaintiff's condition to deteriorate,  
4 and he opined Plaintiff's condition would likely cause him to miss  
5 work at least four days or more per month. Tr. 462.

6 The ALJ gave no weight to Mr. Tuning's medical opinions for two  
7 reasons: (1) he is not an acceptable medical source; and (2) his  
8 statements were inconsistent. Tr. 18. The ALJ acknowledged that,  
9 as an unacceptable medical source, Mr. Tuning "may provide insight  
10 into the severity of the claimant's impairments, and how they affect  
11 his ability to function." Tr. 18. The ALJ noted that Mr. Tuning's  
12 2010 assessment contradicted his treatment records and was  
13 inconsistent with his 2009 assessment, and therefore the 2010  
14 opinion was "unimpressive." Tr. 18.

15 Plaintiff's argument that the ALJ erred by discounting Mr.  
16 Tuning's medical opinions is not persuasive. The ALJ properly noted  
17 that an unaccepted medical source may not provide medical opinions.  
18 Tr. 18. In the Social Security disability context, physician  
19 assistants are considered "other sources" and are not "acceptable  
20 medical sources." See 20 C.F.R. § 404.1513(a),(d); accord 20 C.F.R.  
21 § 416.913(a),(d). Only acceptable medical sources may provide  
22 medical opinions. See 20 C.F.R. § 404.1527(a)(2) ("Medical opinions  
23 are statements from physicians and psychologists or other acceptable  
24 medical sources that reflect judgments about the nature and severity  
25 of your impairment(s), including your symptoms, diagnosis and  
26 prognosis, what you can still do despite impairment(s), and your  
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1 physical or mental restrictions."); accord 20 C.F.R. §  
2 416.927(a)(2).

3 The ALJ noted that Mr. Tuning's opinion could provide insight  
4 into the severity of Plaintiff's impairments, but that his opinions  
5 from the years 2009 and 2010 were contradictory. The ALJ may reject  
6 a medical providers' opinion that is contradicted by the provider's  
7 clinical notes and observations. *Bayliss v. Barnhart*, 427 F.3d  
8 1211, 1216 (9<sup>th</sup> Cir. 2005). In this case, Mr. Tuning unequivocally  
9 recommended sedentary work<sup>7</sup> for Plaintiff in 2009. Tr. 447. While  
10 Plaintiff urges that Mr. Tuning's recommendation was merely a  
11 hypothetical hope that Plaintiff could return to work someday in the  
12 future, Mr. Tuning's words are not ambiguous and do not convey such  
13 a meaning. The record does not reveal, and Plaintiff does not point  
14 out, objective medical evidence establishing a deterioration in  
15 Plaintiff's condition between the years 2009 and 2010 that would  
16 explain Mr. Tuning's change of opinion. In the absence of such  
17 evidence, Mr. Tuning's 2009 opinion recommending sedentary work and  
18 his 2010 opinion that Plaintiff cannot work are inconsistent. The  
19 ALJ did not err by rejecting Mr. Tuning's opinion.

## 20 2. Credibility.

21 Plaintiff asserts that the ALJ erred by finding his subjective  
22 complaints were not credible. ECF No. 19 at 17. Plaintiff argues  
23 that the ALJ provided vague assertions and failed to specify the  
24 inconsistencies between Plaintiff's testimony and the medical  
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26 <sup>7</sup>"I recommend sedentary work level for John." Tr. 447.  
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1 evidence. ECF No. 19 at 17-19. For the ALJ to reject the  
2 claimant's complaints, he must provide "specific, cogent reasons for  
3 the disbelief." *Rashad v. Sullivan*, 903 F.2d 1229, 1231 (9th Cir.  
4 1990); *Lester v. Chater*, 81 F.3d 821, 834 (9<sup>th</sup> Cir. 1995). Once the  
5 claimant produces medical evidence of an underlying impairment, the  
6 ALJ may not discredit the claimant's testimony as to subjective  
7 symptoms merely because they are unsupported by objective evidence.  
8 *Bunnell v. Sullivan*, 947 F.2d 341, 343 (9<sup>th</sup> Cir. 1991); see also  
9 *Cotton v. Bowen*, 799 F.2d 1403, 1407 (9th Cir. 1986) ("it is improper  
10 as a matter of law to discredit excess pain testimony solely on the  
11 ground that it is not fully corroborated by objective medical  
12 findings"). Unless affirmative evidence exists establishing that  
13 the claimant is malingering, the ALJ's reasons for rejecting the  
14 claimant's testimony must be "clear and convincing." *Swenson v.*  
15 *Sullivan*, 876 F.2d 683, 687 (9th Cir. 1989). General findings are  
16 insufficient; the ALJ must identify what testimony is not credible  
17 and what evidence undermines the claimant's complaints. *Dodrill v.*  
18 *Shalala*, 12 F.3d 915, 918 (9th Cir. 1993); *Varney v. Secretary of*  
19 *Health and Human Services*, 846 F.2d 581, 584 (9th Cir. 1988).

20 The ALJ discounted Plaintiff's credibility based upon three  
21 reasons: (1) Plaintiff had not worked for ten years prior to his  
22 alleged onset date; (2) no significant objective medical findings  
23 supported his allegations; and (3) two examining physicians noted  
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1 positive Waddell's signs.<sup>8</sup> Tr. 17. The ALJ's reasons are clear and  
2 convincing, as well as supported by the record. First, as the ALJ  
3 noted, Plaintiff admitted that he had not worked since 1997. When  
4 asked to explain his lack of work for the past decade, Plaintiff  
5 indicated he had other income sources: "[M]y wife did some work and  
6 we were on the system, plus we were getting some money in for my  
7 daughters, my two oldest daughters from their dad before he [passed]  
8 away." Tr. 37. A poor work history is a relevant factor in a  
9 credibility determination. *Thomas v Barnhart*, 278 F.3d 947, 958-59  
10 (9th Cir. 2002)(poor work history shows "little propensity to work"  
11 and negatively affects a credibility determination); see also *Schaal*  
12 *v. Apfel*, 134 F.3d 496, 502 (2nd Cir. 1998) (poor work history is  
13 properly considered in a credibility evaluation). In this case,  
14 Plaintiff's admission that he did not work for over ten years was a  
15 proper factor to consider in determining the credibility of his  
16 claim that he was incapable of working.

17 Second, the ALJ discounted Plaintiff's credibility because the  
18 record reveals no significant objective medical findings to  
19 substantiate Plaintiff's allegations of complete disability. Tr.  
20 17. Lack of objective medical evidence to support a plaintiff's

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22 <sup>8</sup>"Waddell's signs" are a group of physical signs that may  
23 indicate a non-organic or psychological component to low back pain.  
24 See Gordon Waddell, John McCulloch, Ed Kummel & Robert Venner,  
25 "Nonorganic Physical Signs in Low-Back Pain," *Spine* 5(2), 117-125  
26 (March/April 1980).

1 claim is properly considered in determining credibility. See *Batson*  
2 *v. Comm'r*, 359 F.3d 1190, 1195 (9th Cir. 2004); *Thomas*, 278 F.3d at  
3 957 (lack of objective medical evidence supporting descriptions of  
4 pain and limitations negatively affected the claimant's credibility  
5 regarding her inability to work). While a lack of objective  
6 evidence supporting plaintiff's symptoms cannot be the sole reason  
7 for rejecting allegations of pain, it can be one of several factors  
8 used in evaluating the credibility of subjective complaints. See  
9 *Rollins v. Massanari*, 261 F.3d 853, 856-57 (9th Cir. 2001).

10 The record supports the ALJ's determination that the objective  
11 medical evidence does not reveal a medical cause for Plaintiff's  
12 pain complaints. For example, medical expert Lawrence Duckler,  
13 M.D., reviewed the medical record and testified that while Plaintiff  
14 exhibited some evidence of gastroesophagitis, his upper endoscopy,  
15 colonoscopy and abdominal CT scan were normal. Tr. 43; 380-85.  
16 Additionally, Plaintiff's back pain was diagnosed as degenerative  
17 disc disease, but a discogram test was "completely negative" at the  
18 level where his spine had significant degenerative changes, and  
19 surgery was not recommended. Tr. 44-47; 454. Dr. Ho found that  
20 Plaintiff could stand and walk cumulatively at least two hours in an  
21 eight hour day, and he could sit up to six hours in an eight-hour  
22 day. Tr. 424. Neither Dr. Duckler nor Plaintiff's  
23 gastroenterologist could identify objective medical evidence that  
24 caused Plaintiff's pain. Tr. 44-45; 380-84.

25 Also, the ALJ noted that Plaintiff did not seek follow up  
26 treatment after his rotator cuff surgery, which is properly  
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1 considered in determining the credibility of complaints related to  
2 his shoulder. The ALJ may consider many factors in weighing a  
3 claimant's credibility, including unexplained or inadequately  
4 explained failure to seek treatment or to follow a prescribed course  
5 of treatment. *Tommasetti av. Astrue*, 533 F.3d 1035, 1039 (9th Cir.  
6 2008). "[I]f a claimant complains about disabling pain but fails to  
7 seek treatment, or fails to follow prescribed treatment, for the  
8 pain, an ALJ may use such failure as a basis for finding the  
9 complaint unjustified or exaggerated. . . ." *Orn v. Astrue*, 495  
10 F.3d 625, 638 (9th Cir. 2007) (citation omitted).

11 The final reason the ALJ gave for discounting Plaintiff's  
12 credibility was the existence of positive "Waddell signs" that  
13 suggested a non-organic component to low back complaints, and Dr.  
14 Ho's observation that Plaintiff may not have been exerting adequate  
15 effort, and was presenting "pain behavior." Tr. 17. These reasons  
16 are also supported by the record. See Tr. 421; 423; 455. Failure  
17 to give maximum or consistent effort during testing is an  
18 appropriate factor to consider when determining a claimant's  
19 credibility. *Thomas*, 278 F.3d at 959; *Rautio v. Bowen*, 862 F.2d  
20 176, 179-80 (8th Cir. 1988) (failure to cooperate during  
21 examinations supported ALJ's conclusion that claimant was not  
22 credible). Contrary to Plaintiff's argument, the ALJ's credibility  
23 analysis was sufficiently specific, supported by substantial  
24 evidence in the record, and based upon appropriate factors. The ALJ  
25 did not err.

26 **3. Step Five.**  
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1 Plaintiff alleges that the vocational testimony was without  
2 evidentiary value because the hypothetical posed to the vocational  
3 expert was flawed. ECF No. 19 at 19. For the vocational expert's  
4 testimony to constitute substantial evidence, the ALJ must present  
5 the vocational expert with a hypothetical based on medical  
6 assumptions supported by substantial evidence in the record that  
7 reflects each of the claimant's limitations. *Andrews*, 53 F.3d at  
8 1044. The hypothetical should be "accurate, detailed and supported  
9 by the medical record." *Tackett*, 180 F.3d at 1101. Where a  
10 hypothetical fails to reflect each of the claimant's limitations  
11 that are supported by substantial evidence, the vocational expert's  
12 answer has no evidentiary value. *Gallant v. Heckler*, 753 F.2d 1450,  
13 1456 (9th Cir. 1984) ("[b]ecause neither the hypothetical nor the  
14 answer properly set forth all of [claimant's] impairments, the  
15 vocational expert's testimony cannot constitute substantial evidence  
16 to support the ALJ's findings.").

17 In this case, the ALJ's hypothetical encompassed all  
18 restrictions that were supported by substantial evidence in the  
19 record and thus was a proper hypothetical. See *Osenbrock v. Apfel*,  
20 240 F.3d 1157, 1164-65 (9th Cir. 2001). The ALJ correctly excluded  
21 from his hypothetical limitations alleged by Plaintiff that were not  
22 supported by the evidence. See *id.* Because the ALJ was not  
23 required to include properly rejected limitations asserted by the  
24 Plaintiff, the hypothetical relied upon by the VE reflect  
25 limitations supported by the record and credible testimony.  
26 Therefore, the ALJ's findings are based on substantial evidence and  
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1 are free of legal error.

2 Plaintiff next challenges the representative occupations  
3 identified by the vocational expert. ECF No. 19 at 19-20.<sup>9</sup>  
4 Specifically, Plaintiff argues that the jobs of telephone quotation  
5 clerk and charge account clerk do not exist in significant numbers  
6 in Oregon. ECF No. 19 at 20. At step five of the sequential  
7 analysis, if the impairment prevents the claimant from performing  
8 his or her past work, a determination of whether the claimant can  
9 engage in other types of substantial gainful work that exist in the  
10 national economy is necessary; if so, the claimant is not disabled  
11 and the analysis ends. 20 C.F.R. §§ 404.1520(a)-(e) &  
12 416.920(a)-(g). At this stage of the analysis, the ALJ should  
13 consider the claimant's RFC and vocational factors such as age,  
14 education, and past work experience. 20 C.F.R. §§ 404.1520(f);  
15 416.920(f). The Commissioner bears the burden of establishing the  
16 existence of alternative jobs available to the claimant, given his  
17 or her age, education, and medical-vocational background. See 20  
18 C.F.R. Pt. 404, Subpt. P, App. 2; *Heckler v. Campbell*, 461 U.S. 458,  
19 103 S. Ct. 1952, 76 L. Ed. 2d 66 (1983). The work must exist in  
20 significant numbers in the national economy. 20 C.F.R. §

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22 <sup>9</sup>Plaintiff argues and Defendant concedes that the jobs of  
23 animal shelter and appointment clerk may not be used because they  
24 are classified as semi-skilled positions. ECF No. 19 at 20; ECF  
25 No. 22 at n.2. Accordingly, the court will not address these  
26 positions.



1 404.1520(a)(4)(v); *Lockwood v. Comm'r of the Soc. Sec. Admin.*, 616  
2 F.3d 1068, 1071 (9th Cir. 2010).

3 The Ninth Circuit has "never set out a bright-line rule for  
4 what constitutes a 'significant number' of jobs." *Beltran v.*  
5 *Astrue*, 676 F.3d 1203, 1206 (9th Cir. 2012). However, that court  
6 has held that 1,266 jobs regionally is a "significant number." *Id.*  
7 (citing *Barker v. Sec'y of Health & Human Servs.*, 882 F.2d 1474,  
8 1479 (9th Cir. 1989)); see also *Thomas*, 278 F.3d at 960 (1,300 jobs  
9 in state sufficient); *Meanel v. Apfel*, 172 F.3d 1111, 1115 (9th Cir.  
10 1999) (between 1,000 and 1,500 jobs in local area sufficient). The  
11 Ninth Circuit also held that 64,000 nationally is a "significant  
12 number." See *Moncada v. Chater*, 60 F.3d 521, 524 (9th Cir. 1995)  
13 (2,300 jobs regionally, 64,000 nationally are significant numbers);  
14 see also *Albidrez v. Astrue*, 504 F. Supp.2d 814, 824 (C.D. Cal.  
15 2007) (17,382 jobs nationally is a "significant number"). In  
16 *Beltran*, the Ninth Circuit held that a mere 135 regional jobs and  
17 1,680 national jobs are "very rare" numbers and thus not  
18 "significant" within the meaning of the Social Security Act.  
19 *Beltran*, 676 F.3d at 1206. If the number of jobs available either  
20 regionally or nationally is "significant," an ALJ's decision must be  
21 upheld. See 42 U.S.C. § 423(d)(2)(A); *Beltran*, 676 F.3d at 1206.

22 In this case, the vocational expert based his testimony upon  
23 the DOT. Tr. 62. The VE testified that charge account has 79,000  
24 positions nationally and 800 in Oregon, and a telephone quotation  
25 clerk has 54,000 positions nationally and 500 in Oregon. Tr. 62-63.

1 Plaintiff's argument that the ALJ must establish a significant  
2 number of jobs within Plaintiff's home state is not supported by the  
3 regulations.

4 Plaintiff is deemed to be disabled if no work exists "in the  
5 national economy, regardless of whether such work exists in the  
6 immediate area in which he lives..." 42 U.S.C. § 423(d)(2)(A). The  
7 regulation defines "work which exists in the national economy" as  
8 work existing "in significant numbers either in the region where  
9 such individual lives or in several regions of the country." *Id.*  
10 As such, the statute does not require the ALJ establish that jobs  
11 are located in Plaintiff's home state. In this case, after  
12 considering the proper factors, the ALJ sufficiently established the  
13 existence of a significant number of alternative jobs available  
14 regionally.

15 Finally, Plaintiff argues that the position of surveillance  
16 monitor does not exist in significant numbers at the sedentary  
17 unskilled level, and in support of his argument, he cites to 65  
18 pages of documents he submitted. ECF No. 19 at 19; Tr. 298-363.  
19 Plaintiff provides the court with no analysis or explanation about  
20 the substance or materiality of the submitted documents. The court  
21 will not consider matters on appeal that are not specifically and  
22 distinctly argued in an appellant's opening brief. *See Carmickle*,  
23 533 F.3d at 1161 n.2. Moreover, the ALJ is not required to discuss  
24 all evidence presented to him, although he must "explain why  
25 significant probative evidence has been rejected." *Vincent ex rel.*

1 *Vincent v. Heckler*, 739 F.2d 1393, 1394-95 (9th Cir. 1984) (citation  
2 and quotation marks omitted). The evidence Plaintiff submitted was  
3 neither significant nor probative. It did not provide information  
4 regarding the number of jobs available in the local and national  
5 economies, and even if it had, the ALJ already relied on a proper  
6 source for that information - the VE's testimony, based on the DOT.  
7 The ALJ did not err by relying upon the vocational expert's  
8 testimony.

9 **CONCLUSION**

10 Having reviewed the record and the ALJ's findings, the court  
11 concludes the ALJ's decision is supported by substantial evidence  
12 and is not based on legal error. Accordingly,

13 **IT IS ORDERED:**

14 1. Defendant's Motion for Summary Judgment (**ECF No. 21**) is  
15 **GRANTED.**

16 2. Plaintiff's Motion for Summary Judgment (**ECF No. 18**) is  
17 **DENIED.**

18 The District Court Executive is directed to file this Order and  
19 provide a copy to counsel for Plaintiff and Defendant. Judgment  
20 shall be entered for **DEFENDANT** and the file shall be **CLOSED.**

21 DATED July 26, 2013.

22  
23 S/ CYNTHIA IMBROGNO  
24 UNITED STATES MAGISTRATE JUDGE  
25  
26  
27  
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